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** Admitted in CT only

January 27, 2003

Commissioner for Patents
Washington, D.C. 20231

Re: U.S. Patent Application No.: 09/890668
For: *WAVEGUIDE FOR AN OPTICAL CIRCUIT AND METHOD OF
FABRICATION THEREOF*
Inventors: Da Silva Marques, P. V. *et al.*
Filed: August 3, 2001
Our Ref. No.: MCW-001US

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Dear Sir:

I enclose herewith for filing in the above-identified application the following:

1. Supplemental Information Disclosure Statement;
2. PTO Form 1449;
3. Copies of references cited in PTO Form 1449 (4);
4. A copy of the UK Search Report; and
5. A Return Postcard.

No additional costs are believed to be due in connection with the filing of this Supplemental Information Disclosure Statement. However, please charge any other necessary fees due in connection with the enclosed statement to our Deposit Order Account No. 12-0080. For this purpose, a duplicate of this sheet is attached.

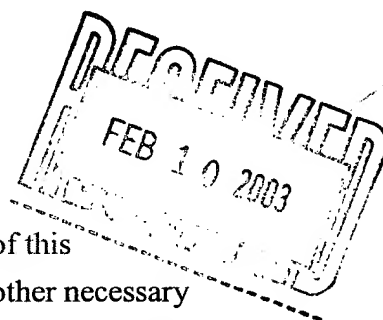
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Date 1/27/03

Anthony A. Laurentano, Registration No. 38,220

Respectfully submitted,
LAHIVE & COCKFIELD, LLP

Anthony A. Laurentano
Registration No. 38,220
Attorney for Applicants





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Da Silva Marques, P. V. *et al.*

Serial No.: 09/890668

Filed: August 3, 2001

For: *WAVEGUIDE FOR AN OPTICAL CIRCUIT
AND METHOD OF FABRICATION THEREOF*

Attorney Docket No.: MCW-001US

Group Art Unit: 2817

Examiner: Not Yet Assigned

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1/27/03
Date of Signature and of Mail Deposit

By:

Anthony A. Laurentano
Anthony A. Laurentano
Reg. No. 38,220
Attorney for Applicants

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Applicants and their Attorney have become aware of the following publications and information, cited in an UK Search Report dated July 9, 1999 during the prosecution of GB 9902479.6 which corresponds to the above-referenced application. In accordance with 37 CFR §1.97, Applicants hereby submit these publications for the Examiner's consideration. These publications are cited on the

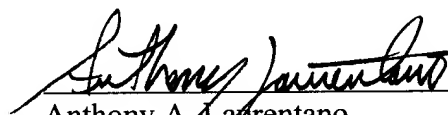
02/03/2003 WABDELRI 00000084 120080 09890668
01 FC:1806 180.00 CH

enclosed PTO Form 1449, and a copy of the Report and each publication cited thereon are enclosed as well.

This statement is not to be interpreted as a representation that the cited publications are material, that an exhaustive search has been conducted, or that no other relevant information exists. Nor shall the citation of any publication herein be construed *per se* as a representation that such publication is prior art. Moreover, the Applicants understand that the Examiner will make an independent evaluation of the cited publications.

Under 37 CFR § 1.97(b)(3), no additional costs are believed to be due in connection with the filing of this disclosure. If, however, a first Office Action on the merits issues in this application bearing a mailing date prior to the date of this Information Disclosure Statement, please charge the appropriate fee as required under 37 CFR §1.17(p) to our Deposit Order Account No. 12-0080.

Respectfully submitted,
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Date: 1/27/03

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Enclosures



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Application No: GB 9902479.6
Claims searched: 1-80

Examiner: Meredith Reynolds
Date of search: 9 July 1999

Patents Act 1977 Search Report under Section 17

Databases searched:

UK Patent Office collections, including GB, EP, WO & US patent specifications, in:

UK Cl (Ed.Q): G2J (JGDA)

Int Cl (Ed.6): G02B 6/16

Other:

Documents considered to be relevant:

| Category | Identity of document and relevant passage | Relevant to claims |
|----------|--|---------------------|
| X | EP 0803589A (NEC)(whole doc, esp Fig 3, Cols 4-5) | 1 and 30 at least |
| X | EP 0607884A (Sumitomo)(whole doc, esp pp 6-8) | 1,30,59-65 at least |
| X | EP 0617301A (NEC)(whole doc, esp Fig 3, Cols 4-5) | 1 and 30 at least |
| X | US 4871221 (Hitachi)(whole doc, esp Fig 8, Cols 3-5) | 1,30,59-64 at least |

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|---|---|---|--|
| X | Document indicating lack of novelty or inventive step | A | Document indicating technological background and/or state of the art. |
| Y | Document indicating lack of inventive step if combined with one or more other documents of same category. | P | Document published on or after the declared priority date but before the filing date of this invention. |
| & | Member of the same patent family | E | Patent document published on or after, but with priority date earlier than, the filing date of this application. |